



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3721
PATENT
S. Zimmerman

Application of:

Brian Eugene Baldwin et al.

Serial No.: 09/928,007

Filed: August 10, 2001

Confirmation No.: 6430

Atty. File No.: 50012-00004

For: METHOD, SYSTEM, AND
APPARATUS FOR HANDLING,
LABELING, FILLING AND
CAPPING SYRINGES

Commissioner for Patents
Washington, DC 20231

Dear Sir:


Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56, Applicant hereby submits this Third Supplemental Information Disclosure Statement with copies of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application. Also enclosed is a Statement Under 37 C.F.R. § 1.97(e)(2).

While Applicant believes no fees are due in connection herewith, please charge any fees necessary under 37 CFR § 1.17(i) to Deposit Account No. 50-1419.

) Group Art Unit: 3721

) Examiner: Brian D. Nash

THIRD SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on: April 29, 2003 .	
MARSH FISCHMANN & BREYFOGLE LLP	
By: 	RECEIVED
Michelle L. Hayes	

MAY 07 2003

TECHNOLOGY CENTER R3700

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: 

Thomas R. Marsh, Esq.
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Atty. Reg. No.: 31,039
Telephone: (303) 338-0997
Facsimile: (303) 338-1514

Date: April 29, 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
50012-00004In Re Application Of: **Brian Eugene Baldwin et al.**Serial No.
09/928,007Filing Date
August 10, 2001Examiner
Brian D. NashGroup Art Unit
3721Title: **METHOD, SYSTEM, AND APPARATUS FOR HANDLING, LABELING, FILLING AND CAPPING SYRINGES****RECEIVED**

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

TECHNOLOGY CENTER R3700

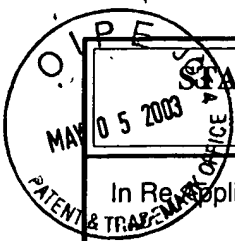
37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).



**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
50012-00004

In Re Application Of: **Brian Eugene Baldwin et al.**

Serial No.
09/928,007

Filing Date
August 10, 2001

Examiner
Brian D. Nash

Group Art Unit
3721

Invention:

METHOD, SYSTEM, AND APPARATUS FOR HANDLING, LABELING, FILLING AND CAPPING SYRINGES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

MAY 07 2003

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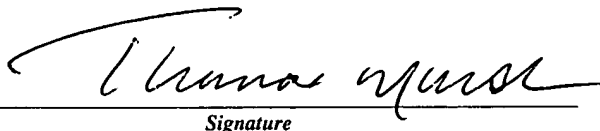
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **April 29, 2003**

Thomas R. Marsh, Esq.
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20231


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Michelle L. Wyss

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CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.
50012-00004

MAY 05 2003

(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application: Brian Eugene Baldwin et al.

Serial No.

09/928,007

Filing Date

August 10, 2001

Examiner

Brian D. Nash

Group Art Unit

3721

METHOD, SYSTEM, AND APPARATUS FOR HANDLING, LABELING, FILLING AND CAPPING

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MAY 07 2003

Payment of Fee

TECHNOLOGY CENTER R3700

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1419 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

Michelle L. Wyss

Typed or Printed Name of Person Mailing Certificate

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Thomas R. Marsh

Signature

Dated: April 29, 2003

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